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Kristen M. Geraci

Applicant : James P. McGuire, Jr.
 Application No. : 10/625,970
 Filed : July 23, 2003
 Title : EAST-WEST SEPARABLE ROADM
 Grp./Div. : To be assigned
 Examiner : To be assigned
 Docket No. : 50518/DJS/O195

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Post Office Box 7068
 Pasadena, CA 91109-7068
 December 30, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Since this application was filed after June 30, 2003, copies of the U.S. references are not enclosed. However, copies of the listed foreign references and non-patent documents are enclosed. Also enclosed is a copy of the International Search Report dated August 28, 2002, for International Application. No. PCT/US02/09822. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b) as Applicants do not believe a first Office action on the merits has yet been mailed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By _____
 David L. Steele
 Reg. No. 47,317
 626/795-9900

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Enclosures: PTO/SB/08A/B, w/references
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FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	50518/DJS/O195
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Applicant(s)	James P. McGuire, Jr.
Group Art Unit	To be assigned
Examiner Name	To be assigned

U.S. PATENT DOCUMENTS

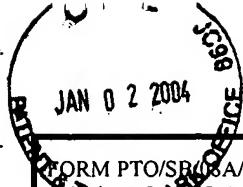
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,244,045	01-06-1981	Nosu et al.
		4,482,994	11-13-1984	Ishikawa
		4,768,849	09-06-1988	Hicks, Jr.
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		US 6,407,839 B1	06-18-2002	Cao
		US 6,498,872 B2	12-24-2002	Bouevitch et al.

EXAMINER SIGNATURE		DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

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FORM PTO/SB/08A/B (10-01)
Supersedes FORM PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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Group Art Unit	To be assigned
Examiner Name	To be assigned

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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		US 6,501,877 B1	12-31-2002	Weverka et al.
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FOREIGN PATENT DOCUMENTS

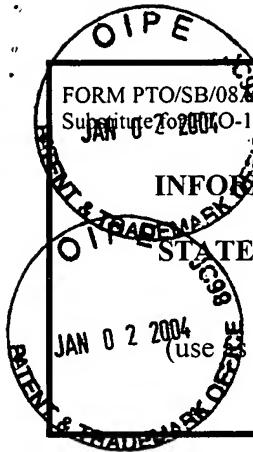
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
		EP 1298467 A1	04-02-2003	Danagher et al.	
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FORM PTO/SB/085/B (10-01)
Substitute for PTO-149A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		SCOBET et al., Hybrid thin film WDM and optical switch devices for optical add/drop, OCLI Telecommunications Division, 3 pp.

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